

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen PALM

Confirmation No. 7668

1200 8:3 2004

Appl. No.: 09/281,813

Group Art Unit: 2631

Filed

March 31, 1999

Examiner: Dung Nguyen

For

ACTIVATION OF MULTIPLE XDSL MODEMS WITH IMPLICIT

4

CHANNEL PROBE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT RECEIVED

Commissioner of Patents Alexandria, Virginia 22313

DEC 3 1 2003

Sir:

Technology Center 2600

In accordance with the duty of disclosure under C.F.R. §1.56, §1.97-1.98, Applicant hereby calls the following information to the Examiner's attention:

(1) ITU-T recommendation G.994.1 ("Handshake Procedures For Digital Subscriber Line (DSL) Transceivers"), published by the International Telecommunication Union in February, 2001.

A copy of a European Search Report mailed in related European Patent Application No. 03007777.0-2415 on October 11, 2003 is enclosed, in which the following documents are cited:

(2) ITU-T Recommendation V.8 bis ("Procedures for the Identification and Selection of Common Modes of Operation Between Data Circuit-Terminating Equipments (DCEs) and Between Data Terminal Equipments (DTEs) Over the General Switched Telephone Network and On Leased Point-to-Point Telephone-Type Circuits"), published by the International

Telecommunication Union in August, 1996, was cited by the European Examiner as a category "X" document (particularly relevant if taken alone), and a category "D" document (cited in the application), with respect to the European application;

- (3) International Publication Number WO 98/10545, entitled "Improvements In, or relating to, Multi-Carrier Transmission Systems", published on March 12, 1998, was cited by the European Examiner as a category "A" document (disclosing the state of the art) with respect to the European application;
- (4) An article by F. MESCAM, entitled "Introduction A La Procedure De Transmission HDLC", published at pages 69-73 of L'Onde Electrique, vol. 53, no. 2 (February, 1973), was cited by the European Examiner as a category "A" document (disclosing the state of the art) with respect to the European application; and
- (5) An articled by H. OHBA et al., entitled "End-to-End Protocol Based On CCITT X.25 and Its Implementation", published at pages 281-287 of Evolutions In Computer Communications, Kyoto September 26-29, 1978, International Conference On Computer Communication, Tokyo, Japan, vol. CONF. 4, September 1978.

A copy of a European Search Report mailed in related European Patent Application No. 03007773.9-2415 on October 11, 2003 is enclosed, in which the following documents are cited:

(6) ITU-T Recommendation V.8 bis ("Procedures for the Identification and Selection of Common Modes of Operation Between Data Circuit-Terminating Equipments (DCEs) and Between Data Terminal Equipments (DTEs) Over the General Switched Telephone Network

and On Leased Point-to-Point Telephone-Type Circuits"), published by the International Telecommunication Union on August, 1996, was cited by the European Examiner as a category "X" document (particularly relevant if taken alone), and a category "D" document (cited in the application), with respect to the European application;

- (7) U.S. Patent 5,493,609 to DAVIS et al., which issued on February 20, 1996 was cited by the European examiner as a category "A" document; and
- (8) An articled by K. KRECHMER at pages 63, 64 and 66 of Data Communications, McGraw Hill, NY, vol. 23, no. 2 (January 21, 1994), entitled "V.34 Modems: Off to a Fast Start?", was cited by the European Examiner as a category "A" document with respect to the European application.

A copy of a European Search Report mailed in related European Patent Application No. 03007771.3-2415 on October 29, 2003 is enclosed, in which the following documents are cited:

- (9) European Patent Application No. EP 0 974 202 and International Publication No. WO 99/35756, published on July 15, 1999 was cited by the European Examiner as being a category "E" document (earlier patent document, but published on or after the filing date) with respect to the European application;
- (10) European Patent Application no. EP 0 820 168, published on January 21, 1998, was cited by the European Examiner as being a category "X" document with respect to the European application. Applicant notes that U.S. Patent 6,002,722 to WU, which issued on December 14, 1999 is a family member patent;

- (11) U.S. Patent 5,479,447 to CHOW et al., which issued on December 26, 1995, was cited by the European Examiner as being a category "X" document with respect to the European application; and
- (12) U.S. Patent 4,679,227 to HUGHES-HARTOGS, which issued on July 7, 1987, was cited by the European Examiner as being a category "A" document with respect to the European application.

A copy of a European Search Report mailed in related European Patent Application No. 03007772.1-2415 on October 11, 2003 is enclosed, in which the following documents are cited:

- (13) ITU-T Recommendation V.8 bis ("Procedures for the Identification and Selection of Common Modes of Operation Between Data Circuit-Terminating Equipments (DCEs) and Between Data Terminal Equipments (DTEs) Over the General Switched Telephone Network and On Leased Point-to-Point Telephone-Type Circuits"), published by the International Telecommunication Union on August, 1996, was cited by the European Examiner as a category "X" document (particularly relevant if taken alone), and a category "D" document (cited in the application), with respect to the European application;
- (14) U.S. Patent 5,493,609 to DAVIS et al., which issued on February 20, 1996 was cited by the European Examiner as a category "A" document; and
- (15) An articled by K. KRECHMER at pages 63, 64 and 66 of Data Communications, McGraw Hill, NY, vol. 23, no. 2 (January 21, 1994), entitled "V.34 Modems: Off to a Fast

Start?", was cited by the European Examiner as a category "A" document with respect to the European application.

A copy of a European Search Report mailed in related European Patent Application No. 99914300.1 on October 28, 2003 is enclosed, in which the following documents are cited:

- (16) European Patent Application No. EP 0 974 202, published on January 26, 2000 and International Publication No. WO 99/35756, published on July 15, 1999, were cited by the European Examiner as a category "E" document with respect to the European Application;
- (17) European Patent Application No. EP 0 820 168, published on January 21, 1998, was cited by the European Examiner as being a category "X" document and a category "Y" document (relevant if combined with another document of the same category) with respect to the European application. Applicant notes that U.S. Patent 6,002,722 to WU, which issued on December 14, 1999 is a family member patent; and
- (18) U.S. Patent 5,644,573 to JACOBSEN et al., which issued on July 1, 1997, was cited by the European examiner as a category "Y" document with respect to the European application.

An English language translation of a Chinese Decision of Rejection mailed on October 10, 2003 in related Chinese Application No. 00800814.0 is enclosed, in which the following documents are cited:

(19) U.S. Patent 5,463,382 to NIKAS et al., which issued on October 31, 1995; and

(20) U.S. Patent 5,377,188 to SEKI, which issued on December 27, 1994.

An English language translation of a Chinese Office Action mailed on November 13, 2003 in Chinese Patent Application No. 99803805.9 is enclosed, in which the following document is cited:

(21) U.S. Patent 4,897,831 to NEGI et al., which issued on January 30, 1990.

20/30/03

Applicant notes that items (10), (11), and (17) to (21) previously cited in the instant application. Therefore, copies of those documents are not enclosed herewith.

The relevance and pertinent portions of the above-noted documents are as noted in attached copies of the above-noted materials.

Applicant respectfully requests that the Examiner consider and cite the patent documents. The above-cited documents have been listed on a PTO-1449 Form which is attached hereto. The Examiner is requested to initial the appropriate spaces on the attached PTO-1449 Form and to return a copy of the Form to the Applicant with the next official communication in the present application to confirm consideration of these documents.

Further, Applicant notes that an Office Action on the merits has not issued in the instant application after filing a Request for Continued Examination (RCE), and thus, no fee is necessary to ensure consideration of this statement. However, if an Office Action has issued after filing the RCE or it is determined that a fee is necessary, the Commissioner is hereby authorized to charge payment of any necessary additional fees associated with this communication to Deposit Account No. 19-0089.

Should there be any questions, the Examiner is invited to contact the undersigned at the below-listed number.

Respectfully submitted,

Stephen PALM

Bruce H. Bernstein Reg. No. 29,027

December 30, 2003 GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place Reston, VA 20191 (703) 716-1191 DEC 3 0 2003

Form PTO-1449

S.U.S. Department of Commerce Patent and Trademark Office

Atty. Docket No. P17243

Serial No. 09/281,813

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant Stephen PALM

Filing Date Group March 31, 1999 2631

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER						ER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5	4	7	9	4	4	7	12/26/95	CHOW et al.			
		4	6	7	9	2	2	7	07/07/87	HUGHES-HARTOGS			
		5	4	9	3	6	0	9	02/20/96	DAVIS et al.			
FOREIGN PATENT DOCUMENTS													
													TRANSLATION

	D	oct	JME	NT	NUI	мві	ER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSI YES	LATION NO
9	8	/	1	0	5	4	5	03/12/98	W.I.P.O.				
	0	9	7	4	2	0	2	01/26/00	E.P.O.				
 9	9	/	3	5	7	5	6	07/15/99	W.I.P.O.				

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	I ITU-T recommendation G.994.1 ("Handshake Procedures For Digital Subscriber Line (DSL) Transceivers"), published by the
	International Telecommunication Union in February, 2001.
	2 ITU-T Recommendation V.8 bis ("Procedures for the Identification and Selection of Common Modes of Operation Between
	Data Circuit-Terminating Equipments (DCEs) and Between Data Terminal Equipments (DTEs) Over the General Switched
	Telephone Network and On Leased Point-to-Point Telephone-Type Circuits"), published by the International Telecommunication
	Union in August, 1996.
	An article by F. MESCAM, entitled "Introduction A La Procedure De Transmission HDLC", published at pages 69-73 of
	L'Onde Electrique, vol. 53, no. 2 (February, 1973).
	4 An articled by H. OHBA et al., entitled "End-to-End Protocol Based On CCITT X.25 and Its Implementation", published at
	pages 281-287 of Evolutions In Computer Communications, Kyoto September 26-29, 1978.
	5 An articled by K. KRECHMER at pages 63, 64 and 66 of Data Communications, McGraw Hill, NY, vol. 23, no. 2 (January 21,
	1994) entitled "V.34 Modems: Off to a Fast Start?"

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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ommerce k Office Atty. Docket No. P17243

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l	EXAMINER INITIAL	D	OC	UMI	ENT	NU	МВ	ER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5	6	4	4	5	7	3	07/01/97	JACOBSEN et al.			
		5	4	6	3	3	8	2	10/31/95	NIKAS et al.			
		5	3	7	7	1	8	8	12/27/94	SEKI			
	- · ·	4	8	9	7	8	3	1	01/30/90	NEGI et al.			
		6	0	0	2	7	2	2	12/14/99	WU			

FOREIGN PATENT DOCUMENTS

	D	OCI	JME	NT	NU	MBI	ER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSI YES	LATION NO
	0	8	2	0	1	6	8	01/21/98	E.P.O.					

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	7	An English language translation of a Chinese Decision of Rejection mailed on October 10, 2003 in related Chinese Application
		No. 00800814.0.
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		99803805.9.

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